

W E L C O M E



WHEN YOU SHARE Sealaska stock with your family you continue an important Native tradition of giving... you give a gift that lasts a lifetime.



How To Give Your Gift

Take a few moments to complete the seven steps outlined on the pages that follow. Your gift will be something you and your family will enjoy for the rest of your lives.

Remember to gather the necessary information and have the completed documents confirmed by a notary public before you send them back to Sealaska.



For More Information

*If you have any questions, contact Sealaska Shareholder Records
(907) 586-1512, or toll free (800) 848-5921.*



How to Give Your Gift

STEP 1 Complete the Shareholder Form

The shareholder form must be completed by the shareholder who is gifting the shares (the “donor”). Also, at step one, list the name of each recipient and the number of shares you wish to give.

STEP 2 Stand Beneath the Family Tree

Fill in the individual branches and admire the tree as a whole. It is a history of your family and your ties to a Native heritage. In order to determine the recipient’s blood quantum, please list the birth parents and grandparents of the recipient on the family tree.

STEP 3 Ask Recipient to Complete the Recipient Form

Each person receiving a gift of shares must fill out the necessary information on the recipient form and sign it in the presence of a notary public. If the recipient is under 18, the parent or legal guardian of the child must complete and sign the form on behalf of the recipient.

Also at step three is an optional “release for publication” form. The recipient (or the parent or guardian of a recipient under 18) may sign this form granting the release of the recipient’s name in the *Sealaska Shareholder* and other promotional materials about gifting.

STEP 4 If Recipient is Under 18 Years of Age, Assign a Custodian

(If the recipient is over 18 years of age, please skip this step)

If the recipient of a gift of Sealaska stock is less than 18 years of age, a custodian must be appointed to hold the shares. The custodian may be the recipient’s parent, legal guardian, or the shareholder who is gifting stock. The custodian must complete the Custodian Consent form to accept the appointment.

STEP 5 Have Recipient Complete a W-9 Form

Every new shareholder must fill out a W-9 form. The recipient should include his or her name, address, social security number, and signature. The remaining boxes are used for businesses and can be left blank in this case.

STEP 6 Attach Copy of Recipient’s Birth Certificate

Attach a copy of the recipient’s birth certificate to the recipient form. If you don’t have a copy at home, contact the vital statistics or social services department in the state where the recipient was born.

STEP 7 Send Completed Booklet to Sealaska

Make sure you and your recipient(s) have completed the forms, attached the necessary documents, and signed the forms in front of a notary public before you send the packet back to Sealaska. Once we receive the completed packet, we will process the information within three weeks.

Send your completed Share Sealaska Gifting Packet to:

Shareholder Records
Sealaska Corporation
One Sealaska Plaza
Suite 400
Juneau, Alaska 99801

For your convenience, we have provided additional copies of the recipient, custodian, and W-9 forms for shareholders gifting to more than one recipient. These forms can be found in the back of the booklet.

Shareholder Form

Continued
STEP

1

IMPORTANT List the name, address and social security number of each recipient and the number of shares you wish to give.

1. _____
Recipient's First Name (as it appears on Social Security card) M.I. Last Name Relationship to Donor

Recipient's Complete Mailing Address

_____, _____, _____
City State Zip Code

Date of Birth: _____ Social Security No.: _____
Month Day Year

Number of shares to be gifted

2. _____
Recipient's First Name (as it appears on Social Security card) M.I. Last Name Relationship to Donor

Recipient's Complete Mailing Address

_____, _____, _____
City State Zip Code

Date of Birth: _____ Social Security No.: _____
Month Day Year

Number of shares to be gifted

3. _____
Recipient's First Name (as it appears on Social Security card) M.I. Last Name Relationship to Donor

Recipient's Complete Mailing Address

_____, _____, _____
City State Zip Code

Date of Birth: _____ Social Security No.: _____
Month Day Year

Number of shares to be gifted

4. _____
Recipient's First Name (as it appears on Social Security card) M.I. Last Name Relationship to Donor

Recipient's Complete Mailing Address

_____, _____, _____
City State Zip Code

Date of Birth: _____ Social Security No.: _____
Month Day Year

Number of shares to be gifted

Total shares transferred _____ Shares retained by donor (if any) _____

I am making more than four gifts. I have copied this form and included the remaining names on the attached copy.



Family Tree

S T E P

2

The family tree is a visual history of your Native heritage. Start by filling in the recipient's name. Next, add the name and blood quantum of the recipient's parents and grandparents (both paternal and maternal). If you do not know the name or blood quantum of your family members, please fill this in to the best of your ability.

First Name Last Name
Tribe Blood Quantum
Recipient

First Name Last Name
Tribe Blood Quantum
Sibling
(If shareholder is gifting to a brother or sister)

First Name Last Name
Tribe Blood Quantum
Recipient's Mother

First Name Last Name
Tribe Blood Quantum
Recipient's Father

First Name Last Name
Tribe Blood Quantum
Recipient's Grandfather

First Name Last Name
Tribe Blood Quantum
Recipient's Grandmother

Recipient Form

S T E P

3

IMPORTANT If you are the recipient of a gift of stock from a Sealaska shareholder, you must complete this affidavit and sign it in front of a notary public.

I, _____
First Name M.I. Last Name

Date of Birth: ____ ____ ____ ____ Social Security No.: ____ - ____ - ____
Month Day Year

Complete Mailing Address: _____

_____, ____ ____
City State Zip Code

under oath, depose as follows:

1. I understand that if the proposed gift is approved, I will receive ____ share(s) of Sealaska Corporation stock as a gift from _____ (name of donor).
First Name Last Name
2. I have not paid, transferred, or promised anything of value to obtain these shares.
3. I understand that if this gift is approved, I will have the following rights and responsibilities with regard to the shares:
 - (a) At shareholder meetings, either in person or by proxy, I will be responsible for voting my shares.
 - (b) I will be responsible for determining the future ownership of my shares by gift or will.
 - (c) I will be entitled to receive distributions or dividends issued by Sealaska Corporation to holders of Settlement Common Stock, the amount to be based on the number of shares I own.
 - (d) I will be responsible for paying whatever taxes may be owed as a result of receiving this gift of shares.
4. I understand that the law does not allow me to return the shares, except as a gift to a brother or sister, and then only if I am over age 18.
5. I understand that my signature below indicates my acceptance of the proposed stock gift and my request that Sealaska Corporation complete the transfer of shares from the shareholder named above to me.

WAIT to sign this affidavit until you are in the presence of a notary public.

A NOTARY PUBLIC is an official witness who confirms your identity and signature.
You can find this service at Sealaska offices, banks, law firms, and at the U.S. Post Office.

Dated this ____ day of _____, ____ ____
Day Month Year

Recipient (or Parent or Legal Guardian if Recipient is under 18) (signature)

Subscribed and sworn to before me this ____ day of _____ at _____, ____
Day Month Year City State

Notary Public in and for

My commission expires: ____ ____ ____
Month Day Year



Recipient Form

Continued
S T E P

3

Release for Publication*

I hereby consent to the publication of my name and home town in the *Sealaska Shareholder* newsletter or promotional materials published by Sealaska Corporation. I understand that this publication will take the form of an article, brochure or feature welcoming new shareholders or promoting stock gifting, and may appear more than once.

Dated this day of _____,
Day Month Year

Signature _____

Type or print name _____
First Name M.I. Last Name

*This form does not need to be signed in the presence of a notary public.

Release for Publication—Minor*

(Recipient under 18 years of age)

I, the undersigned parent or legal guardian of the minor child _____
First Name Last Name
("the Child"), consent to the publication of the Child's name and home town in the *Sealaska Shareholder* newsletter or promotional materials published by Sealaska Corporation. I understand that this publication will take the form of an article, brochure or feature welcoming new shareholders or promoting stock gifting, and may appear more than once.

Dated this day of _____,
Day Month Year

Signature (parent or guardian) _____

Type or print name _____
First Name M.I. Last Name

*This form does not need to be signed in the presence of a notary public.



Custodian Consent Form Recipient - Under Age 18

S T E P

4

IMPORTANT If the recipient of a gift of Sealaska stock is less than 18 years of age, his or her parent or legal guardian may be appointed as a custodian of the shares. The shareholder who is gifting stock may also elect to act as custodian. This form must be completed by the custodian to accept the appointment.

In the matter of _____, a minor:
Minor's First Last Name

This document appoints _____ as custodian of all
Custodian's First Name Last Name
stock and other property that the above-named minor is and may later be entitled to receive from Sealaska Corporation, pursuant to the Alaska Native Claims Settlement Act ("ANCSA"; 43 U.S.C. § 1601 et seq.) until the minor reaches age 18. This appointment complies with Alaska Statute 13.46.085, as set forth on the reverse side hereof.

Custodian's Full Mailing Address

_____, _____, _____
City State Zip Code

The undersigned hereby consents to and accepts appointment as custodian for the above-named minor, and agrees to receive and hold as custodian all stock and property distributed that the minor may be entitled to receive from Sealaska Corporation. The undersigned further agrees to collect, hold, manage, invest, and reinvest the custodial property in accordance with the Alaska Uniform Transfer to Minors Act, as it may be amended or replaced from time to time. The undersigned acknowledges having read and understood Alaska Statutes 13.46.085 and 13.46.110 (both set forth on the reverse side of this page), which are sections of the Alaska Uniform Transfer to Minors Act that address the appointment, powers and duties of custodians for minors.

Custodian's Signature: _____ Date: _____

Family or legal relationship of custodian to the above-named minor: _____

(See reverse side)

Alaska Statutes Excerpts from the Alaska Uniform Transfers to Minors Act

AS 13.46.085. Native corporation; custodians.

(a) The stock or membership in a corporation organized under the law of this state under the Alaska Native Claims Settlement Act (43 U.S.C. §§ 1601-1642) that a minor is entitled to receive under the Act shall be held by a custodian.

(b) A person making a transfer of stock, whether by gift, devise, or other method, may nominate a custodian. In the absence of a nomination, the custodian shall be determined under the order of priority set out below. The appointment becomes effective upon the corporation's receipt of the custodian's written consent to the appointment. The order of priority is:

- (1) the legal guardian, if any, of the minor;
 - (2) a parent, if any, of the minor, as selected by the parents;
 - (3) an adult member of the minor's family; in this paragraph, "member of the minor's family" has the meaning given in AS 13.46.990, and also includes members of a family with whom the minor has customarily lived.
- (c) For good cause, a district court or the superior court may vary the order of priority set out in (b) of this section or appoint another suitable person as custodian.

(d) the custodianship is governed by this chapter, as modified by the following:

- (1) [Repealed, §§ 12, ch. 60, SLA 1992]
- (2) under AS 13.46.150, a third person is responsible for determining whether stock is inalienable under the Act;
- (3) the custodian shall give an appropriate receipt for property received by the minor;
- (4) the custodian may not alienate inalienable property except within the limits provided by law;
- (5) the form of registration or title shall be "as custodian for _____ (name of minor) under the Alaska Native Claims Settlement Act";

	M.I.	
First Name	M.I.	Last Name
- (6) a custodian may not receive compensation except, upon application to and approval by the superior court, for unusual and extraordinary services;
- (7) custodial property includes securities, money, and other real and personal property under supervision as a consequence of the Act.

(e) Notwithstanding AS 13.46.190, the stock shall be transferred to the minor when the minor reaches 18 years of age, or to the minor's heirs if the minor dies before reaching 18 years of age.

(f) In this section,

- (1) "Act" means the Alaska Native Claims Settlement Act (43 U.S.C. §§ 1601-1642);
- (2) "minor" means an individual who is less than 18 years of age;
- (3) "stock" means the stock or membership in a corporation that is organized under the law of this state under the Act and that a minor is entitled to receive under the Act, whether by gift, devise, or other method; "stock" includes inchoate rights to stock.

AS 13.446.110. Care of custodial property.

(a) A custodian shall:

- (1) take control of custodial property;
- (2) register or record title to custodial property if appropriate; and
- (3) collect, hold, manage, invest, and reinvest custodial property.

(b) In dealing with custodial property, a custodian shall observe the standard of care that would be observed by a prudent person dealing with property of another and is not limited by any other statute except AS 13.90.010 restricting investments by fiduciaries. If a custodian has a special skill or expertise, or is named custodian on the basis of representations of a special skill or expertise, the custodian shall use that skill or expertise. However, a custodian, in the custodian's discretion and without liability to the minor or the minor's estate, may retain custodial property received from a transferor.

(c) A custodian may invest in or pay premiums on life insurance or endowment policies on:

- (1) the life of the minor only if the minor or the minor's estate, is the sole beneficiary; or
- (2) the life of another person in whom the minor has an insurable interest only to the extent that the minor, the minor's estate, or the custodian in the capacity of custodian, is the irrevocable beneficiary.

(d) A custodian at all times shall keep custodial property separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor. Custodial property consisting of an undivided interest is so identified if the minor's interest is held as a tenant in common and is fixed.

Custodial property subject to recordation is so identified if it is recorded, and custodial property subject to registration is also identified if it is either registered, or held in an account designated, in the name of the custodian, followed in substance by the words: "as a custodian for _____ (name of minor) under the Alaska Uniform Transfers to Minors Act."

	M.I.	
First Name	M.I.	Last Name

(e) A custodian shall keep records of all transactions with respect to custodial property, including information necessary for the preparation of the minor's tax returns, and shall make them available for inspection at reasonable intervals by a parent or legal representative of the minor or by the minor if the minor has attained the age of 14 years.

Note: To be sure the statutory information is up-to-date and complete, custodians should consult the entire Alaska Uniform Transfer to Minors Act, AS 13.46.010-.999.

Answers To Your Questions

Below we have provided answers to commonly asked questions about the gifting process. If you do not find an answer to your question here, please contact Sealaska at the phone number provided on the back of this page.

Q Who can give a gift of Sealaska shares?

A Any Sealaska shareholder over age 18 can give shares as a gift.

Q Who can receive a gift of Sealaska shares?

A A recipient can be the donor shareholder's child, grandchild, niece, nephew, brother, or sister, either by birth or by legal adoption that occurred before the child was 18 years old.

Q Does the recipient have to be an Alaska Native?

A A person who receives a gift of shares must be either an Alaska Native or a descendant of a Native, including adopted descendants. Therefore, a recipient need not have a particular blood quantum to be eligible to receive a gift of shares.

Q Why do shareholders give some of their shares away as gifts?

A Shareholders give shares as gifts for many reasons. For example, some do it to celebrate a child's birthday or high school graduation. Others do it to pass on shareholder benefits to their descendants, so they can enjoy them too. A sister might give some of her shares to her younger brother if he was born after December 18, 1971, the Alaska Native Claims Settlement Act (ANCSA) enrollment cut-off date.

Q How many shares can a shareholder give away?

A Shareholders can give any number of shares as a gift. They can give one share, five shares, twenty five shares, or every share they own. It's up to the shareholder to decide, though we recommend a shareholder give at least two shares.

Q Can a gift include a fraction of a share?

A No. The gift must be given in whole shares. For example, a donor who wishes to divide 50 shares among her three children could give 17 shares to two children and 16 to the third.

Q Is a gift of shares to a minor any different than a gift to an adult?

A If the recipient is under age 18, the shares will be held by a custodian (usually the parent or legal guardian, or the donor of the gift) until the recipient turns 18.

Q What about a relative of a shareholder's husband or wife?

A The recipient must be related to the shareholder by blood or adoption, not by marriage. Therefore, you cannot gift shares to your spouse's niece or nephew.

Answers To Your Questions

Can the shareholder revoke or undo the gift later?

Q Or can the recipient return the shares to the donor?

A No. Once a gift of shares is given, the shareholder no longer has authority over those shares. They belong wholly to the person who received them. The only recipient who can return shares is a sibling who is over age 18.

Q What if I don't know the blood quantum of my family members?

A You can get this information by calling your local office of the Bureau of Indian Affairs and asking for a Certificate of Indian Blood (CIB).

Q How long does it take to give a gift of shares?

A Once Sealaska has received completed documents from the shareholder and recipient, it takes only about three weeks.

Q If I'm gifting shares to more than one recipient, do I need more than one packet?

A No. We have provided paperwork for up to four recipients within this packet (additional forms can be found on the following pages). If you are gifting to more than four recipients at one time, please photocopy the Recipient Form, Family Tree and W-9 forms for them. Remember to attach a birth certificate for each recipient.

If you have other questions or need additional clarification, please contact our Shareholder Records Department (907) 586-1512, or toll free (800) 848-5921.